REGISTRATION

Date	Patient Last Name		First Name	Iı	nitial
Home Phone	Work Phone		Email		
Street Address					
City		State	2	Zip	
Sex □ M □ F A	AgeBirth date	<u>Email</u>			
Social Security #		State	: & Driver's License #_		
Insured Name Las	st Name First Name Initial		nere did you learn abou	t our clinic?	
Las	st Name First Name Initial				
	red □ Self		\Box Child		□ Other
Condition/ Illness R	elated To Illness	☐ Employment	☐ Auto		☐ Other
	Company Name			Occupation	
EMPLOYER	Address		Phone	Full-time	☐ Part-time
	City	State	Zip	Years Employed	
	N		D: 41.1.4	COM	
CDOLICE	NameLast Name First ?	Y Y:4:-1	_Birthdate	SSN:	
SPOUSE (DADENT)					
(PARENT)	Employer NameAddress	Dhana	Y ea	irs Employed	
	Address	Pnone	Occ	cupation	
	City	State	Zıp	Full-time	□ Part-time
PATIENT	Please list any and all insurance	and/or employee he	alth care plan coverage	VOIL OF VOIIT SPOUSE	may have
INSURANCE	Insurance Company or Health C			you or your spouse	may nave
INFORMATION	Policy/Group #:	care i ian i vanie	Effective	Date:	
In Out of the Control	Name of Insured:		ID #·		
	Trumo or mourou.		12		
SPOUSE	Please list any and all coinsuran			ge you or your spot	ise may have
COINSURANCE	Insurance Company or Health C	Care Plan Name			
INFORMATION	Policy/Group #:		Effective l	Date:	
	Name of Insured:		ID #:		
	Are your present symptoms or conditions related to or the result of an auto accident, work-related injury, or				1.4.1
MEDICAL	other personal injury someone <u>else might be legally liable for</u> ? ☐ Yes ☐ No Your Initials: If you answered yes, please fill out accident specific form, available at the front desk.				
MEDICAL AND LEGAL					
INFORMATION	Pregnant Yes No Pace	(Name and Dhana #)	o Family Physician	1	
INFURNIATION	Person to contact in emergency			ohone:	
	Address		1616	onone	
	AddressCity	State	Zip	Alt #	
		5tate	<i>Z</i> ıp		
EMERGENCY					
CONTACT	Name		Relation		
	Address		Phone	Cell	
	AddressCity	State	Zip	Alt #	
PRIMARY	N		NID/DA NI		
CARE	Name_		NP/PA Name	F	
PHYSICIAN	NameAddressCity	Ctata	Pnone	rax	
	City	State	Zıp		
CARDIO					
PHYSICIAN	Name		NP/PA Name		
	NameAddress		Phone	Fax	
	City	State	Zip		
OFFICE					
OTHER SPECIAL ITY	Name		ND/DA Nama		
SPECIALITY DIVISION AND	Address		Phone	Fav	
PHYSICIAN	NameAddressCity	State	7in	ran	
	City	State	zıp		

PLEASE LIST YOUR CURRENT PROBLEM OR CHIEF COMPLAINT & REASON FOR VISIT TODAY	PRIMARY CARE PHYSICIAN PAIN MANAGEMENT PHYSICIAN ORTHOPAEDIC PHYSICIAN
	Dr OTHER: Name: INSURANCE WEBSITE INTERNET PREVIOUS PATIENT:
ONSET OF PAIN OR INJURY	DATE: or YEAR: or CAUSE UNKNOWN
DESCRIBE YOUR CURRENT SYMPTOMS	RADIATES DOWN EXTREMITY: ARM or LEG - RIGHT or LEFT or BOTH SYMPTOMS: PAIN TINGLING NUMBNESS BURNING WEAKNESS AGGRAVATED BY: BENDING LIFTING SITTING STANDING WALKING LAYING PAIN WORSENS: UPON WAKING GOING TO BED WITH DAILY ACTIVITY PAIN SCALE: Rated 1-10 (10 being the worst pain level)
PREVIOUS OR CURRENT DIAGNOSTIC TESTING OR TREATMENT	RECENT X-RAYS: yes or no IF SO, LOCATION: RECENT MRI: yes or no IF SO, LOCATION: RECENT CT: yes or no IF SO, LOCATION: PHYSICAL THERAPY: yes or no IF SO, LOCATION: ACUPUNCTURE: yes or no IF SO, WHEN: CHIROPRACTIC CARE: yes or no IF SO, WHEN: PER DR.: EPIDURAL INJECTIONS: yes or no IF SO, WHEN: HOW MANY: PER DR.: PREVIOUS SPINE SURGERY? yes or no IF SO, WHEN: PER DR.: ANY INFO PERTINENT TO CURRENT PROBLEM: ANY INFO PERTINENT TO CURRENT PROBLEM:

INFORMATION REQUIRED FOR	RACE: White Black Hispanic Multi-Racial Other
MEANINGFUL USE BY CMS	☐ Single ☐ Married ☐ Life Partner ☐ Widowed ☐ Separated ☐ Divorced
	Children: YES or NONE If so, how many BOYS? How Many GIRLS?
	Veteran: Yes or No Branch: Years Served Discharged Status
	Tobacco Usage: Yes or No What Type: If so, HOW MUCH per Day?
	How Long? Have you ever tried to Quit? Yes or No
	If so, by what methods? Year Quit:
	Alcohol Usage: Yes or No What Type: If so, HOW MUCH per Day?
	Rate your Usage: Daily Weekly Monthly Occasionally Rarely Socially
	If previous Abuse noted, did you receive treatment? Year Quit:
ANNUAL HEALTH	If Female, date of last Mammogram? If Male, date of last Prostate Exam?
MAINTENANCE QUESTIONAIRE	Last Pap Smear? Last EGD/Colonoscopy?
	Last Flu Shot? Immunizations? Any FALL Precautions?
PERFERRED PHARMACY	NAME:
	ADDRESS:
	PHONE:
LIST OF	
MEDICATIONS	
(Must List	
Name & Dosage)	
ALL ED CHECKE	
ALLERGIES TO MEDICATIONS	■ NO KNOWN DRUG ALLERGIES or ■ Known Medication Allergy listed along with Reaction noted
DO YOU HAVE	(Metals, Food, Contrast, Dyes, Tape)
ANY OTHER	(ivicials, 100d, Collitast, Dyes, Tape)
ALLERGIES?	
PAST MEDICAL HISTORY	# AIDS/HIV# Drug Abuse# Migraine Headaches# SLE - Lupus# Alcoholism# Diabetes# Multiple Sclerosis# Spinal Stenosis

	Alzheimer's	■ Deep Vein Thrombosis	Myocardial Infarction	■ Spondylolisthesis
	■ Anemia	■ Fibromyalgia	■ Obesity	■ Thyroid Disease
	■ Angina	■ Gallbladder Disease	■ Osteoarthritis	Valvular Heart Disease
	■ Arthritis	■ GERD	■ Osteoporosis	Heart Murmur
	■ Asthma	■ Gout	Parkinson Disease	1
	Atrial Fibrillation	Hepatitis	Peptic Ulcer Disease	
	Benign Prostatic Hypertrophy	Hyperlipidemia	Psoriasis	!
	Cerebrovascular Accident	Hypertension	Peripheral Vascular Dise	ease
	Congestive Heart Failure	■ IBS	Renal Disease	!
	■ COPD	Juvenile RA	■ RA – Rheumatoid Arthri	tis
	Coronary Artery Disease	Kidney Disease	Scoliosis	9
	Crohn's Disease	Liver Disease	Seizure Disorder	
	Degenerative Joint Disease	Lyme Disease	Sleep Apnea	9
	■ Depression	Surgery Scoliosis	Surgery	
	Cancer - Type:	Year:	- Treatment:	
OTHER PERTINENT MEDICAL HISTORY				
PAST SURGERY HISTORY	Back Surgery - Year: Bowel Resection - Year: Appendectomy - Year:	C-Section - Year:	Tubal Liga	ourgery - Year: ntion - Year: omy - Year:
	■ CABG x Year:			n: Year:
	■ Hip – Side: Year:			
	Shoulder – Side: Yea			e: - Year:
	Wrist – Side: Year:			- Year:
	■ Carpal Tunnel Release – Side:			
	Cancer - Type:	Year: Treatment:		
ANY OTHER PERTINENT SURGICAL HISTORY				
REVIEW OF SYSTEMS		Weight Loss Fee Sores Psoriasis adaches Fainting	evers Scars Tattoos Seizures Weal	kness ¶ Numbness

P	lea	ise Chec	k
	an	y of the	
fo	llo	wing th	at
		<mark>apply</mark>	

EYES: • Blurred Vision	Double Vision	Wears Glasses	Wears Conta	cts
EARS, NOSE, THROAT:	■ Trouble Hearing	Frequent Sinus	Infections •	Frequent Sore Throats
CARDIOVASCULAR:	Heart Trouble 🛢 High	BP Chest Pain Tro	ouble Exercising	Abnormal Heart Test
RESPIRATORY: Prod	luctive Cough 🔋 Co	oughing Up Blood	Wheezing	History of Pneumonia
GASTROINSTESTINAL: Diarrhea Change in Bo		~		
BREASTS: Lumps II	Breast Pain Nij	pple Discharge	■ History of Bre	ast Cancer or Treatment
ENDOCRINE: Thyro	oid Trouble Exce	essive Sweating	Diabetes	Excessive Thirst
GENITOURINARY:	Painful Urination	■ Increased Frequen	cy of Urinating	Urinating at Night
1	Incontinence (Trou	ble Holding Urine)	■ Urinary T	ract Infections
HEMATOLOGICAL:	Anemia BEasy Brui	sing 8 Abnormal Ble	eeding Histo	ry of Blood Transfusion
MUSCULOSKELETAL:	Muscle or Joint Pai	n l History of Arthr	itis 8 Back Pain	History of FX Bone
PSYCHIATRIC: Anxie	ty Depression	Bipolar Mania	ic/Depressive	■ Thoughts of Suicide

PATIENT
AGREEMENT &
AUTHORIZATION
FOR THE
RELEASE OF
MEDICAL &
HEALTH PLAN
DOCUMENTS FOR
THE CLAIMS
PROCESSING &
REIMBURSEMENT
AS REQUIRED BY
FEDERAL AND
STATE LAWS

Legal Assignment of Benefits and Designation of Authorized Representative

In considering the amount of medical expenses to be incurred, I, the undersigned, have insurance and/or employee health care benefits coverage with the above captioned, and hereby assign and convey directly to the above named healthcare provider(s), as my designated Authorized Representative(s), all medical benefits and/or insurance reimbursement, if any, otherwise payable to me for services rendered from such provider(s), regardless of such provider's managed care network participation status. I understand and agree that I am legally responsible for any and all actual total charges expressly authorized by me regardless of any applicable insurance or benefit payments. I hereby authorize the above-named provider(s) to release all medical information necessary to process my claims under HIPAA. I hereby authorize any plan administrator or fiduciary, insurer and my attorney to release to such provider(s) any and all plan documents, insurance policy and/or settlement information upon written request from such provider(s) in order to claim such medical benefits, reimbursement, or any applicable remedies. I authorize the use of this signature on all my insurance and/or employee health benefits claim submissions.

I hereby convey to the above named provider(s), to the full extent permissible under the laws, including but not limited to, ERISA §502(a)(1)(B) and §502(a)(3), under any applicable employee group health plan(s), insurance policies or public policies, any benefit claim, liability or tort claim, chose in action, appropriate equitable relief, surcharge remedy or other right I may have to such group health plans, health insurance issuers or tortfeasor insurer(s), with respect to any and all medical expenses legally incurred as a result of the medical services I received from the above named provider(s), and to the full extent permissible under the laws to claim or lien such medical benefits, settlement, insurance reimbursement and any applicable remedies, including, but are not limited to, (1) obtaining information about the claim to the same extent as the assignor; (2) submitting evidence; (3) making statements about facts or law; (4) making any request, or giving, or receiving any notice about appeal proceedings; and (5) any administrative and judicial actions by such provider(s) to pursue such claim, chose in action or right against any liable party or employee group health plan(s), including, if necessary, bring suit by such provider(s) against any such liable party or employee group health plan in my name with derivative standing but at such provider(s) expenses. Unless revoked, this assignment is valid for all administrative and judicial reviews under PPACA, ERISA, Medicare and applicable federal or state laws. A photocopy of this assignment is to be considered as valid as the original. I have read and fully understand this agreement.

Signature of Insured / Guardian	Date

PHYSICIAN DISCLOSURE INFORMATION

During your physician/patient relationship with **Dr. Bonaventure Ngu**, you may be referred by **Dr. Bonaventure Ngu** to the following Facilities:

- "Humble Surgical Hospital" located at 1475 FM 1960 Bypass Road East, Humble TX 77338
- "The Pines Surgery Center" located at 9303 New Trails., The Woodlands TX 77381
- "Globus Medical" located at 2560 General Armistead Avenue, Audubon, PA 19403
- "Sentinel PA" located at 13161 Misty Willow Dr., Houston, TX 77070
- "ESA Toxicology" located at 625 Dallas Dr., Suite 400., Denton, TX 76205
- "Spine Frontier" located at 350 Main St, Third Floor, Malden, MA 02148
- "Vision Park Imaging Center" located at 111 Vision Park Blvd, Ste. 130, Shenandoah, TX 77384
- "Pinecroft Pharmacy" located at 9505 Pinecroft Dr., The Woodlands, TX 77380
- "Woodridge Surgical Center" located at 6701 Lake Woodlands Drive, The Woodlands, TX 77382

In connection with any referral to these Facilities you are hereby advised that **Dr. Bonaventure Ngu** has an investment interest in the facilities and therefore will receive, directly or indirectly, remuneration as a result of such referral.

This information is being provided to you both at the time of **Dr. Bonaventure Ngu's** first contact with you as a patient and at the time of referral to help you make an informed decision about your health care. You have the right to choose your health care provider. You have the option of obtaining health care ordered by your physician at a different facility other than the Facility. You will not be treated differently by your physician or the Facility if you choose to use a different facility.

By signing below, you certify that you were advised of alternative health care providers and your right to choose one of the alternative health care providers. Further, you confirm and agree that you were assured by your physician that you will not be treated differently by the physician or the physician's staff if you choose an alternative health care provider or entity. Lastly, you further acknowledge by signing below that you signed this Physician Ownership Disclosure Form on the date of your first contact with **Dr. Bonaventure Ngu** as a patient and also at the time of **Dr. Bonaventure Ngu's** referral of you to the Facility.

	/ Guardian

PATIENT FINANCIAL RESPONSIBILITY

Patients are responsible for full payment of charges incurred during each appointment. Staff collects payment based on the patient's insurance coverage and benefits. All financial responsibility amounts quoted to patient are estimates and responsibility may change once insurance has processed and paid the patient's claim. Patients with delinquent balances are asked to pay before seeing the provider or clinical support staff.

You can assign the benefits from any insurance or third party to Bonaventure Ngu, MD for medical services provided to you. Bonaventure Ngu, MD has the right to decline or accept assignment of such benefits. If these benefits are not assigned to Bonaventure Ngu, MD, the patient must agree to forward to the practice, upon receipt, any insurance, or third-party payments I receive for services rendered to me.

We accept Cash, Checks, Visa and MasterCard, and HSA Accounts.

Patients with delinquent accounts must pay in full for future services. If a patient does not make payment, management can determine that the practice will refuse to provide services to the patient.

If a patient maintains a delinquent balance for more than 150 days without making any payments or contacting the practice about assistance because of financial hardship, the patient may be terminated and/or his/her balance may be transferred to a collection agency.

There will be a \$25.00 fee for all paperwork that is required by the patient regardless if it is for personal or work-related employment request.

Signature of Patien	t	Date

NO SHOW APPOINTMENT POLICY	We fully understand that there are unforeseen circumstances that arise we ask that you contact us accordingly so that other patients may be cancel any appointment, please notify us at least 24 HOURS IN appointment, a NO SHOW fee of \$50.00 will be charged to your accommedical care for multiple NO SHOW's or noncompliance issues regard	scheduled and treated. Should you need to ADVANCE!!! If you miss your scheduled count. We also reserve the right to terminate
	Signature of Patient	
CONSENT FOR TREATMENT	I hereby give my consent to Bonaventure Ngu, MD and authoriz understand that Bonaventure Ngu, MD will explain my condition(s), for my condition before treatment is provided. I authorize Bonaven different treatment that is thought necessary if, in an emergency situ known previously. I have carefully read and I fully understand this Pa opportunity to discuss my condition and the above procedure(s) with t adequately answered.	foreseeable risks, and methods of treatment ture Ngu, MD to perform any additional or ation, a condition is discovered that was not atient Consent to Treat form and have had the
	Patient Name	_
	Patient Signature	Date
	Parent or Legal Guardian Signature (for minor)	
	Printed Name of Legal Guardian	
	Relationship to the Patient	_
	Who is responsible for this account? (if patient is a minor)	
	Signature of Staff Member	Date
NOTICE OF PRIVACY PRACTICES ACKNOW- LEDGEMENT	Patient Name Date of Birth	
	Social Security Number	
	I acknowledge that Premier Spine Institute, Bonaventure Ngu, MD pro Notice of Privacy Practices. I also acknowledge that I have been afforded the opportunity to read the	
	questions.	
	Patient Signature	Date
	Personal Representative Signature (if applicable)	Relationship to Patient
	Witness	Date

PATIENT **AUTHORIZATION** TO USE OR DISCLOSE **PROTECTED HEALTH INFORMATION** (PHI)

I understand Premier Spine Institute/Bonaventure Ngu, MD is authorized by me to use or disclose my protected health information ("PHI") for a purpose other than treatment, payment, or health care operations. I have read this authorization and understand what information will be used or disclosed, who may use and disclose the information, and the recipient(s) of that information. I understand that treatment, payment, enrollment, or eligibility for benefits may not be conditioned upon my signing this authorization.

I specifically authorize Premier Spine Institute/Bonaventure Ngu, MD or its designated employee(s) to disclose my PHI as described on this form to the recipients listed below. I understand that when the information is used or disclosed pursuant to this authorization, it may be subject to redisclosure by the recipient and may no longer be protected by state or federal privacy regulations. I further understand that I retain the right to revoke this authorization if I do so in accordance with the steps set forth below.

Description of the information to be used or disclosed (check all that apply): ☐ My entire record *Note: This requires an explanation of why it is necessary to disclose the entire record.* ☐ My demographic information (check all that apply): □ Name □ Address □ State/Zip Code only □ Telephone □ Age □ Gender □ Race □ Other □ Other _____ ☐ Medical Data/Information related to: □ Specific condition(s) □ Specific professional service(s) □ Specific medication(s) □ Other ____ ☐ Other Please disclose the above information to: Name _____ Phone Number ____ I □ DO □ DO NOT authorize this information to be faxed. If yes, fax number Name(s) or class of person(s) to whom Premier Spine Institute/Bonaventure Ngu, MD may disclose my PHI Purpose(s) for the disclosure of the information:

PHI RELEASE CONTINUED....

I have a right to revoke this authorization in writing, except to the extent that action has been taken in reliance on this authorization. For the revocation of this authorization to be effective, Premier Spine Institute/Bonaventure Ngu, MD must receive the revocation in writing and the revocation must include:

	Ngu, MD must receive the revocation in writing and the revocation must include:
	 My name and address The effective date of this authorization and the recipients of the PHI according to this authorization My desire to revoke this authorization The date of the revocation, and My signature.
	Premier Spine Institute/Bonaventure Ngu, MD will accept written revocations of this authorization via:
	☐ Certified U.S. mail ☐ Facsimile at this number:
	All revocations must be sent to Premier Spine Institute/Bonaventure Ngu, MD and are not effective until received in our offices.
	This authorization shall expire one year from the signature date unless revised by patient prior to that date.
	I fully understand and accept the terms of this authorization.
	Patient Name
	Patient Signature Date
	Name of Representative
	Relationship to Patient
	FOR OFFICE USE ONLY Authorization added to the patient's record on
PATIENT	I understand that this Agreement is essential to the trust & confidence necessary in a physician/patient

MEDICATION &
TREATMENT
AGREEMENT
ALONG WITH
USE OF
TOXICOLOGY
LAB

Date

relationship and that my physician undertakes treatment based on this agreement.

- I understand that if I breach this agreement my physician will be forced to stop prescribing controlled substances
- I will not share, sell, or trade my medication with anyone.
- I understand that my medications are my responsibility; I will safeguard my medication from "loss" or "theft". I understand that **lost or stolen medications will not be replaced under any circumstances.**
- I understand that such mishandling of my medications is a serious violation of this agreement and would result in my treatment being terminated without any recourse for appeal.
- I understand that refills of controlled substances will be made only at the time of an office appointment during normal business hours.
- Refills for controlled medication will not be made over the phone. You must come to the office for an appointment. No refills will be made during evenings (after hours) or on weekends.
- I agree to take my medication exactly as prescribed so as to not run out of medication. I understand that use of my medication at a greater rate will result in my being without medication for a period of time.

 Our office does not provide early refills for medications; any medication changes must be approved by the doctor.
- I agree to adhere to the payment policy outlined by this office.
- I agree to conduct myself in a *courteous manner at all times* when in the doctor's office. Inappropriate language or behavior towards administrative or clinical staff will not be tolerated.
- I agree to provide random urine samples for drug testing at Dr. Ngu's request.

I understand that violation of the above may be grounds for termination from this practice. Our Clinic will make all notifications of termination of care in writing.

PATIENT SIGNATURE	DATE DATE

In order to allow you to make a fully-informed decision about your health care, Bonaventure Ngu, M.D./Premier Spine Institute would like to inform you that at some point during the course of your treatment, the Practice may use ESA Toxicology LLC ("*ESA Labs*") to perform certain laboratory services involving your biological samples. The Practice wishes to advise you that the physician has a direct ownership interest in ESA, and in addition, Drug screens will be obtained at office visits as a risk evaluation and mitigation strategy as required by the US FDA and as a recognized standard of care to establish a baseline for the patient and to promote a safe appropriate pharmaceutical distribution and use for patients who may require dependency producing medications used in the treatment of their spinal disorders.

All of the Practicing Physicians in the spine industry will make referrals to laboratories based upon the best interests of a patient's health and any other factors that a patient would like his or her physicians to consider, regardless of any ownership interest or compensation arrangement that a physician may have with a particular laboratory or other facility.

You, as the patient, have the right to choose the provider of your healthcare services and the laboratories and other facilities where you receive services or treatment. You have the right to have your laboratory services provided by ESA Labs or to choose to have your laboratory services provided by an alternative laboratory. For information about alternative laboratories, please ask your physician or a member of our staff. If you choose another laboratory, you will not be treated differently by any of the physicians affiliated with the Practice.

If you have any questions concerning this notice, please feel free to ask your physician or any member of our staff. We welcome you as a patient and value our relationship with you.

By signing below, you acknowledge that you have read and fully understand this notice.

Signature of Patient

Signature of Parent or Guardian (if applicable)

Typed or Printed Name of Patient

Typed or Printed Name of Parent or Guardian (if applicable)